

Attorney's Docket No. 080398.P431

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Edwards, et al.

Application No.: 09/932,038

Filed: August 16, 2001

For: File Archive And Media Transfer  
System With User Notification

Examiner: Kelvin Y. Lin

Art Unit: 2142

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

07/12/2005 SHASSEN1 00000016 09932038

01 FC:1806

180.00 OP

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on July 8, 2005.

(Date of Deposit)

Carla Anyxia Nascimento

(Typed or printed name of person mailing correspondence)

(Signature of person mailing correspondence)

7-8-05

(Date)

has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Some of the references cited in the accompanying PTO-1449 are from International Search Report for No. PCT/US01/03825 and is attached herewith.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

  X   37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

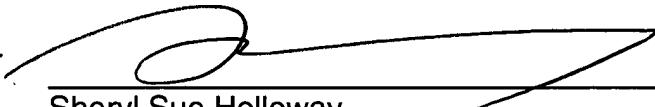
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 8, 2005

  
\_\_\_\_\_  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/932,038
Filing Date	August 16, 2001
First Named Inventor:	Edwards, et al.
Art Unit	2142
Examiner Name	Kelvin Y. Lin
Attorney Docket Number	080398.P431

1

of

4

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	3,785,721	01-15-1974	Harsch	
		US-	5,091,967	02-25-1992	Ohsawa	
		US-	5,267,154	11-30-1993	Takeuchi, et al.	
		US-	5,303,148	04-12-1994	Mattson, et al.	
		US-	5,327,521	07-05-1994	Savic, et al.	
		US-	5,428,723	06-27-1995	Ainscow, et al.	
		US-	5,561,745	10-01-1996	Jackson, et al.	
		US-	5,600,765	02-04-1997	Ando, et al.	
		US-	5,615,123	03-25-1997	Davidson, et al.	
		US-	5,634,012	05-27-1997	Stefik, et al.	
		US-	5,682,525	10-28-1997	Bouve, et al.	
		US-	5,710,887	01-20-1998	Chelliah, et al..	
		US-	5,721,827	02-24-1998	Logan, et al.	
		US-	5,727,201	03-10-1998	Burke	
		US-	5,732,216	03-24-1998	Logan, et al.	
		US-	5,734,823	03-31-1998	Saigh, et al.	
		US-	5,737,538	04-07-1998	Wilhite	
		US-	5,748,898	05-05-1998	Ueda	
		US-	5,751,838	05/12/1998	Cox, et al.	
		US-	5,760,916	06-02-1998	Dellert, et al.	
		US-	5,768,142	06-16-1998	Jacobs	
		US-	5,768,528	06-16-1998	Stumm	
		US-	5,771,354	06-23-1998	Crawford	
		US-	5,781,665	07-14-1998	Cullen, et al.	
		US-	5,794,006	08-11-1998	Sanderman	
		US-	5,802,292	09-01-1998	Mogul	
		US-	5,805,745	09-08-1998	Graf	
		US-	5,838,828	11-17-1998	Mizuki, et al.	
		US-	5,845,062	12-01-1998	Branton, Jr. et al.	
		US-	5,857,074	01-05-1999	Johnson	
		US-	5,864,827	01-26-1999	Wilson	
		US-	5,870,717	02-09-1999	Wiecha	
		US-	5,872,637	02-16-1999	Nakanishi	
		US-	5,881,168	03-09-1999	Takaoka, et al.	

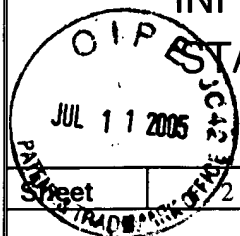
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/932,038
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Art Unit	2142
Examiner Name	Kelvin Y. Lin
Attorney Docket Number	080398.P431

Sheet 2 of 4

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	5,884,280	03-16-1999	Yoshioka, et al.	
		US-	5,890,175	03-30-1999	Wong, et al.	
		US-	5,897,622	04-27-1999	Blinn, et al.	
		US-	5,918,009	06-29-1999	Gehani, et al.	
		US-	5,923,846	07-13-1999	Gage, et al.	
		US-	5,930,405	07-27-1999	Chida	
		US-	5,944,769	08-31-1999	Musk, et al.	
		US-	5,948,061	09-07-1999	Merriman, et al.	
		US-	5,949,875	09-07-1999	Walker, et al.	
		US-	5,960,409	09-28-1999	Wexler	
		US-	5,968,120	10-19-1999	Guedalia	
		US-	5,974,451	10-26-1999	Simmons	
		US-	5,982,941	11-09-1999	Loveridge, et al.	
		US-	5,982,891	11-9-1999	Ginter, et al.	
		US-	5,983,200	11-09-1999	Slotznick	
		US-	5,991,740	11-23-1999	Messer	
		US-	5,990,860	11-23-1999	Takeuchi	
		US-	6,006,197	12-21-1999	d'Eon, et al.	
		US-	6,006,231	12-21-1999	Popa	
		US-	6,011,537	01-04-2000	Slotznick	
		US-	6,014,701	01-11-2000	Chaddha	
		US-	6,018,761	01-25-2000	Uomini	
		US-	6,021,433	02-01-2000	Payne, et al.	
		US-	6,023,521	02-08-2000	Sarpeshkar, et al.	
		US-	6,023,701	02-08-2000	Malik, et al.	
		US-	6,026,371	02-15-2000	Beck, et al.	
		US-	6,037,937	03-14-2000	Beaton, et al.	
		US-	6,041,316	03-21-2000	Allen	
		US-	6,058,428	05-02-2000	Wang, et al.	
		US-	6,067,095	05-23-2000	Danieli	
		US-	6,076,077	06-13-2000	Saito	
		US-	6,109,923	08-29-2000	Rothenberg	
		US-	6,118,459	09-12-2000	Hunter	

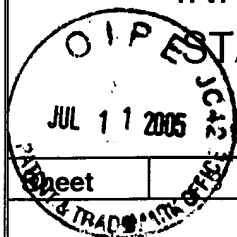
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## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)



## Complete if Known

Application Number	09/932,038
Filing Date	August 16, 2001
First Named Inventor:	Edwards, et al.
Art Unit	2142
Examiner Name	Kelvin Y. Lin
Attorney Docket Number	080398.P431

Sheet	of	4
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		Number-Kind Code <sup>2</sup> (If known)				
		US-	6,141,666	10-31-2000	Tobin	
		US-	6,216,112	04-10-2001	Fuller, et al.	
		US-	6,272,532	08-07-2001	Feinleib	
		US-	6,301,555	10-09-2001	Hinderks	
		US-	6,321,202	11-20-2001	Raveis	
		US-	6,336,052	07-01-2002	Quellet, et al.	
		US-	6,341,279	01-22-2002	Nye	
		US-	6,358,054	03-19-2002	Rothenberg	
		US-	6,388,686	05-14-2002	Hetherington, et al.	
		US-	6,369,837	04-09-2002	Schirmer	
		US-	6,405,178	06-11-2002	Manchala , et al.	
		US-	6,411,999	06-25-2002	Tinkler	
		US-	6,463,462	10-08-2002	Smith, et al.	
		US-	6,463,468	10-08-2002	Buch, et al.	
		US-	6,493,685	12-10-2002	Ensel, et al.	
		US-	6,496,851	12-17-2002	Morris, et al.	
		US-	6,590,586	07-08-2003	Swenton-Wall, et al.	
		US-	6,625,624	09-23-2003	Chen, et al.	
		US-	6,609,106	08-19-2003	Robertson	
		US-	6,701,343	03-02-2004	Kenyon	
		US-	6,701,441	03-02-2004	Balasubramaniam, et al.	
		US-	6,711,594	03-23-2004	Yano, et al.	
		US-	6,734,883	05-11-2004	Wynn, et al.	
		US-	2001/0034646	10-25-2001	Hoyt, et al.	
		US-	2001/0037205	07-05-1994	Savic, et al.	
		US-	2002/0049624	04-25-2002	Raveis	
		US-	2002/0052785	05-02-2002	Tenenbaum	
		US-	2002/0059364	05-16-2002	Coulthard, et al.	
		US-	2002/0091576	07-11-2002	Giordano, et al.	
		US-	2002/0118182	08-29-2002	Luther Weindorf	
		US-	2002/0133412	09-19-2002	Olvier, David M.	
		US-	2003/0097361	05-22-2003	Huang, Erwin S.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Substitute for Form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application Number	09/932,038
		Filing Date	August 16, 2001
		First Named Inventor:	Edwards, et al.
		Art Unit	2142
		Examiner Name	Kelvin Y. Lin
Attorney Docket Number	080398.P431		
Sheet 4	of 4		
<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Game Commander™, "Game Commander User's Guide©, 1998 Mindmaker.	
		"Assistive Computer Technology for Windows 98™ Training Manual", High Tech Center Training Unit of the California Community Colleges at the Foothill-De Anza Community Columnlege District, Cupertino, CA. July 2000, 8 pages.	
Examiner Signature			Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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\$2142  
JUL 11 2005

# FEE TRANSMITTAL FOR FY 2005

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known:

Application No. 09/932,038  
Filing Date August 16, 2001  
First Named Inventor Edwards, et al.  
Examiner Name Kelvin Y. Lin  
Art Unit 2142  
Attorney Docket No. 080398.P431

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☐ Charge fee(s) indicated below.

☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below except for the filing fee

☒ Credit any overpayments.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Large Entity		Small Entity		Fee Description		Fees Paid (\$)
Fee Code	Fee (\$)	Fee Code	Fee (\$)			
1011	300	2011	150	Utility application filing fee	} 1,000/500	_____
1111	500	2111	250	Utility search fee		_____
1311	200	2311	100	Utility examination fee		_____
1012	200	2012	100	Design application filing fee	} 430/215	_____
1112	100	2112	50	Design search fee		_____
1312	130	2312	65	Design examination fee		_____
1013	200	2013	100	Plant filing fee	} 660/330	_____
1113	300	2113	150	Plant search fee		_____
1313	160	2313	80	Plant examination fee		_____
1004	300	2004	150	Reissue filing fee	} 1,400/700	_____
1114	500	2114	250	Reissue search fee		_____
1314	600	2314	300	Reissue examination fee		_____
1005	200	2005	100	Provisional application filing fee		_____
SUBTOTAL (1) \$ <u>0.00</u>						

**2. EXCESS CLAIM FEES**

		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fees Paid (\$)</u>
<b>Total Claims</b>	_____ - 20 or HP = _____		X \$50.00	= _____
HP = highest number of total claims paid for, if greater than 20				
<b>Independent Claims</b>	_____ - 3 or HP = _____		X \$200.00	= _____
HP = highest number of independent claims paid for, if greater than 3				
<b>Multiple Dependent Claims</b>				= _____

<u>Large Entity</u>		<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

**SUBTOTAL (2) \$ \_\_\_\_\_**

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each add'l 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	- 100 = _____	/ 50 = _____ (round up to whole number)	X \$250.00	_____

<u>Large Entity</u>		<u>Small Entity</u>		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec &amp; drawings except sequences &amp; program listings):</u>
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

**SUBTOTAL (3) \$ 0.00**



**FEE CALCULATION (continued)****4. OTHER FEE(S)**

				<b>Fees Paid (\$)</b>	
<b>Non-English Specification, \$130 fee (no small entity discount)</b>					
<u>Large Entity</u>		<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1100	2503	550	Plant issue fee	
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	\$ 180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority	
Other fee (specify) _____					
Other fee (specify) _____					
				<b>SUBTOTAL (4)</b>	<b>\$ 180.00</b>

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Sheryl Sue HollowaySignature: Date: July 8, 2005Reg. Number: 37,850Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450